

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450



Docket No.: 874.1001US
Date: July 29, 2004

IFW

In re application of: Andrew T. HECHT

Serial No.: 10/689,833

Filed: October 20, 2003

For: **METHOD FOR VALUING FORWARDS, FUTURES AND OPTIONS ON REAL ESTATE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement** in the above-identified application.

Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.

Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.

No fee for additional claims is required.

A filing fee for additional claims calculated as shown below, is required:

| FOR: | (Col. 1) | | (Col. 2) | | SMALL ENTITY RATE | LARGE ENTITY RATE | OR | SMALL ENTITY FEE | LARGE ENTITY FEE |
|--|-----------|----------|----------|------------|----------------------|----------------------|----|---------------------|---------------------|
| | REMAINING | HIGHEST | AFTER | PREVIOUSLY | | | | | |
| | | | | AMENDMENT | PAID FOR | EXTRA | | | |
| TOTAL CLAIMS | * | Minus** | = | 0 | x \$ 9 | \$ | | x \$ 18 | \$ |
| INDEP. CLAIMS | * | Minus*** | = | 0 | x \$ 40 | \$ | | x \$ 80 | \$ |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | | + \$135 | \$ | | + \$270 | \$ |
| | | | | | TOTAL: | \$ | OR | TOTAL: | \$ |

* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

Also transmitted herewith are:

Petition for extension under 37 C.F.R. 1.136

Other: Form PTO 1449 and Copies of References Cited

Check(s) in the amount of **\$0.00** is/are attached to cover:

Filing fee for additional claims under 37 C.F.R. 1.16

Petition fee for extension under 37 C.F.R. 1.136

Other:

The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.

Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.

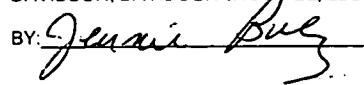
Any patent application processing fees under 37 C.F.R. 1.17.

Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Cary S. Kappel, Reg. No. 36,561
DAVIDSON, DAVIDSON & KAPPEL, LLC
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I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on July 29, 2004.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 

UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Andrew T. HECHT

Serial No.: 10/689,833

Filed: October 20, 2003

For: **METHOD FOR VALUING FORWARDS, FUTURES AND OPTIONS ON REAL ESTATE**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 29, 2004

Sir:

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56 and the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants hereby make of record the references listed on the attached Form PTO-1449.

The present Information Disclosure Statement is filed under 37 C.F.R. §1.97(b), before the mailing of a first Office Action on the merits. Accordingly, it is believed that no fee is due. However, if it is determined that any fee is due, the Examiner is authorized to charge said fee to Attorney Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By: _____

Cary S. Kappel
Reg. No. 36,561

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(212) 736-1940

| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | | | | ATTY. DOCKET NO.: 874.1001US | SERIAL NO.: 10/689,833 | | |
|--|----|--|----|----|----|---|---|-----------------|----------------------------------|------------------------|----------|----------------------------|
| | | | | | | | | | APPLICANT(S): Andrew T. HECHT | | | |
| | | | | | | | | | FILING DATE: October 20, 2003 | GROUP: Unknown | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| /E.B./ | AA | 20 | 04 | 01 | 39 | 0 | 3 | 1 | 07/15/04 | Armitis, et al. | 705 | 80 |
| /E.B./ | AB | 20 | 02 | 01 | 03 | 7 | 4 | 4 | 08/01/02 | Llewelyn | 705 | 37 |
| /E.B./ | AC | 20 | 03 | 01 | 35 | 4 | 4 | 1 | 07/17/03 | Ginsberg | 705 | 37 |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | | | | | | YES |
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| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | |
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| EXAMINER | | /Edward Baird/ | | | | | | DATE CONSIDERED | | 02/19/2008 | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.